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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION



COMPLETION Under  
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): KUMAR et al.

Appln. No.: 09 | 666,144  
Series Code ↑ | Serial No. ↑

Attn: Application Division  
Atty.Dkt. PM 273944 | 0421-NF 206/00  
M# | Client Ref

Filed: September 20, 2000

Title: CHIRAL, CHARGED PEPTIDE NUCLEIC ACID OLIGOMERS FROM CYCLIC MONOMERS

Hon. Commissioner of Patents  
Washington, DC 20231

Date: December 7, 2000

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received  
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.  
2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.  
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:  
a. ☐ Abstract  
b. #  pages of Specification (only spec. & claims)  
c. ☐ Drawing(s)  No of Sheets  
☐ Fig(s).   
4. ☐ Letter filing formal drawing attached.  
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1)             |             | (2)             |             |
| (3)             |             | (4)             |             |
| (5)             |             | (6)             |             |

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in   
8.

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1)             |             | (2)             |             |
| (3)             |             | (4)             |             |
| (5)             |             | (6)             |             |

Completion Under Rule 53(b)

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

|  |    |            |   | Large/Small Entity    |      | Fee Code |
|--|----|------------|---|-----------------------|------|----------|
| 13. Basic Filing Fee . . . . . Design Application  |    |            |   | \$320/\$160           |      | 106/26   |
| Not Design Application   |    |            |   | \$710/\$355           | +710 | 101/201  |
| 14. Total Effective Claims   | 19 | minus 20 = | 0 | x \$18/\$9            | +0   | 103/203  |
| 15. Independent Claims   | 3  | minus 3 =  | 0 | x \$80/\$40           | +0   | 102/202  |
| 16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application) |    |            |   | \$270/\$135           | +270 | 104/204  |
| 17. Surcharge for filing Declaration/filing fee late   |    |            |   | \$130/\$65            | +130 | 105/205  |
| 18. FILING FEE ENCLOSED =  |    |            |   | \$1110                |      |          |
| 19. Original due date: January 22, 2001  |    |            |   |                       |      |          |
| 20. Petition is hereby made to extend the <u>original</u> due date to  |    |            |   | (1 mo) \$110/\$55 =   | +0   | 115/215  |
| cover the date this response is filed for which the requisite fee  |    |            |   | (2mos) \$390/\$195 =  |      | 116/216  |
| is attached  |    |            |   | (3mos) \$890/\$445 =  |      | 117/217  |
|  |    |            |   | (4mos) \$1390/\$695 = |      | 118/218  |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .   |    |            |   | \$130                 | +0   | 139      |
| 22. If "assignment" box 5 is X'd, add recording fee. . . . .   |    |            |   | \$40                  | +40  | 581      |
| 23. Petition Fee for   |    |            |   | \$130                 | +0   |          |
| 24. TOTAL FEE ENCLOSED =   |    |            |   | \$1150                |      |          |

Our Deposit Account No. 03-3975

Our Order No. 51371

273944

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**

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 Ninth Floor  
 Washington, DC 20005-3918  
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**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/666,144         | 09/20/2000          | Vaijayanti A. Kumar   | 273944                 |

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue NW Ninth Floor  
Washington, DC 20005-3918



FORMALITIES LETTER



\*OC000000005573412\*

Date Mailed: 11/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

*KumDun can*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

12/08/2000 SDUONG 00000031 09666144

|           |           |
|-----------|-----------|
| 01 FC:101 | 710.00 OP |
| 02 FC:104 | 270.00 OP |
| 03 FC:105 | 130.00 OP |